

VANMA83:001APC



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 3 1999

Applicant : Communi et al.

) Group Art Unit 1646

Appl. No. : 09/077,173

Filed : November 12, 1998

For : RECEPTOR AND NUCLEIC
ACID MOLECULE
ENCODING SAID RECEPTOR

) I hereby certify that this correspondence and all
) marked attachments are being deposited with
) the United States Postal Service as first-class
) mail in an envelope addressed to: Assistant
) Commissioner for Patents, Washington, D.C.
) 20231, on

May 12, 1999

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Examiner : S. Teng

TECH CENTER 1600/2900

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed in the above-identified patent application are the following:

1. Sequence Submission (1 page);
2. Computer Readable diskette containing sequence listing;
3. Copy of Notice to Comply dated 4/12/99;
4. Copy of Sequence Listing in 7 pages;
5. Copy of return postcard dated May 21, 1998; and
6. Return postcard.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/12/99

By: Neil S. Bartfeld

Neil S. Bartfeld, Ph.D.
Registration No. 39,901
Agent of Record
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
(619) 235-8550

COPY



Applicati n No.: 09/077,173

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: A CRF has been filed. However, the Sequence Listing was not saved in ASCII(DOS) text.

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE

COPY

DEA/NSB



PCT NATIONAL PHASE UTILITY/DESIGN PATENT Date: May 21, 1998

(Application)

Rec'd in the U.S.P.T.O. on the date stamped hereon via Express Mail #EL049128965US

Atty. Dkt. # VANMA83.001APC Applicant: Communi, et al.

Title: Receptor and Nucleic Acid... Atty: DEA/NSB

Corres. to PCT Application No.: PCT/BE96/00123 Filed: 11/21/96

VERIFIED BY: Asst: cgd Quality Control: [Signature]

☐ Patent Appln. in _____ pgs. incl. Spec and _____ Claims

☒ Transmittal in Duplicate

☐ Decl. and Power of Atty.

☐ Decl. by Inventor(s)

☒ Filed ~~Signed~~ Unsigned

☐ Recordation Form Cover Sheet & Assignment in _____ pgs.

☐ Information Disclosure Statement; PTO-1449 w/ _____ ref(s)

☒ Preliminary Amendment in 5 pgs.

☐ Check for \$ _____ for Assignment

☒ Return prepaid postcard

☐ Power of Atty. by Assignee, Copy of Assignment

☐ Small Entity Statement(s)

☒ International Preliminary Examination Report COPY

☒ PCT Form PCT/IB/EA/402

☒ PCT For PCT/IB/308

☐ International Search Report

☒ International Application as Published

☐ Check for \$ 652 Filing Fee

☒ Sequence Submission in 1pg.

☒ Sequence Listing in 7pgs.

☒ X Computer Readable Diskette

4/15
NO DATES DOCKETED
ATTORNEY RESPONSIBLE
INITIAL _____